

Paper no. 6

COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

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MAY 2 1 2001

TECHNOLOGY CENTER R3700

In the Application of Scott UMLAUF

Serial No.: 09/705,022

Filed: 1 November 2000

Art Unit: unassigned 1635

Examiner: unassigned

Title: NUCLEIC ACID VACCINE COMPOSITIONS HAVING A MAMMALIAN CD80/CD86 GENE PROMOTER DRIVING ANTIGEN

EXPRESSION

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
BM	AV-2	6,194,389	2/27/01	Johnston et al.			
							
		No.	·				

Examiner: Black Date Considered: 4/10/02



COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Atty Dkt No. APF 37.20

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 3

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FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	
Bm	AW-2	WO 98/28332	7/2/98	-be1/Llb/)				
							>	

Examiner: Ballered: 4/10/02

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





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OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
BW	AX-2	Freeman et al. (1994) <i>IMMUNOLOGY</i> , 2 nd Edition, pp. 19-20, 89-90, 103-106 and 297-298
	AY-2	Lai et al. (1995) DNA and Cell Biol. 14:643-651
	AZ-2	Chen et al. (1995) Journal of the American Society of Hematology 86(10): Abstract No. 963
	AA-3	Mendoza et al. (1997) J. Immunology <u>159</u> :5777-5781
PL	AB-3	Mackey et al. (1998) J. Immunology <u>161</u> :2094-2098

Examiner: Date Considered: 4/10/62